

UNITED STATES ENVIRONMENTAL PROTECTION AGENCY
OFFICE OF CRIMINAL INVESTIGATIONS

REPORT OF INVESTIGATION

1. TITLE Rocky Flats -	2. CONTROL NUMBER 87-(S)-VIII-02-101 Project 18V
3 PERIOD COVERED June 3, 1992	4. REPORTING OFFICE Boston Area Office

SYNOPSIS

This investigation is being closed as judicial action was completed on June 1, 1992. On this date Sherman G. Finesilver, Chief Judge, United States District Court, District of Colorado, accepted the plea agreement previously negotiated with the Rockwell International Corporation.

The Rockwell International Corporation pled guilty on March 26, 1992 to a ten count information filed by the United States. On June 1, 1992, Judge Finesilver further ordered the defendant to immediately pay a fine of \$18.5 million to the United States. \$2 million of the fine was suspended in consideration of the \$2 million the defendant has agreed to pay to the State of Colorado as part of the plea agreement. Rockwell International must also pay \$1,625 to the United States as a Special Assessment. This is the second largest fine imposed for an environmental crime in the history of the United States.

Attached is the "Memorandum of Sentencing Hearing and Report of Statement of Reasons", filed by Judge Finesilver in this matter.

(A) Details of Investigation

This case was opened in July 1987. In June 1989 a search warrant was executed at the Rocky Flats facility. The search warrant involved 110 agents and technicians from the EPA and FBI. Environmental samples were taken and 135 boxes of evidence were seized.

The primary targets in this investigation were employees of the U.S. Department of Energy and Rockwell International as well as employees of Rockwell International.

In August 1989 a special grand jury was convened in the District of Colorado to investigate alleged environmental violations at the Rocky Flats facility. As a result of this investigation a ten count plea agreement was negotiated with Rockwell International.

REPORT MADE BY William F. Smith, Special Agent in Charge	DATE June 6, 1992
REVIEWING OFFICIAL William F. Smith, Special Agent in Charge	DATE June 6, 1992